

## Expulsion List (Broker Company)

(Last update on 27 September 2016)

No	Company Name	Membership No.	Name of C.E.	Line(s) of Business Registered	Expelled Date
1	Allen Perkins Insurance Brokers Ltd. 雅倫栢堅保險經紀有限公司	77	Pau Yuen Ling, Elsa (鮑婉玲)	Long Term (include LLT)	28 May 2003
2	Manville Insurance Consultants Ltd. 民衛保險顧問有限公司	19	Law Siu Man James (羅兆文)	Long Term (include LLT) & General	06 Aug 2003
3	ACT Plans Ltd. -	252	Chan Chung Ying Lily (陳仲英)	Long Term (include LLT)	01 Feb 2005
4	ACT Plans Ltd. -	252	Fung Kee Tat (馮其達)	Long Term (include LLT)	01 Feb 2005
5	Associe Financiere Global Asset Management Ltd. -	234	N.A.	Long Term (include LLT)	01 Feb 2005
6	Mega Financial Services Ltd. 萬嘉理財服務有限公司	87	Soo Fattydad Sandra (N.A.)	Long Term (exclude LLT )	01 Feb 2005
7	Nation Insurance Brokers Ltd. 力信保險經紀有限公司	173	Tsoi Lok Keung (蔡樂強)	Long Term (include LLT) & General	01 Feb 2005
8	Protector Insurance Brokers Ltd. 保得保險經紀有限公司	202	Wong Yiu Man (黃耀敏)	Long Term (include LLT) & General	01 Feb 2005
9	Shanghai Insurance Advisers Co. Ltd. 上海保險顧問有限公司	157	Chan Po Man (陳寶文)	Long Term (include LLT) & General	01 Feb 2005
10	Sino Cheer Ltd. 華賜有限公司	171	Cheng Ming Ngar (鄭明雅)	Long Term (include LLT) & General	01 Feb 2005
11	Topfine Consultants Ltd. 峯輝顧問有限公司	67	Leung Kin Sung Diana (梁見崧)	Long Term (include LLT) & General	01 Feb 2005
12	Uniton Ltd. 裕衡有限公司	255	Chan Chung Tung (陳忠東)	Long Term (exclude LLT ) & General	01 Feb 2005
13	Victor Consultants Ltd. 曉德顧問有限公司	251	Young Wai Ying Payton (翁偉瑛)	Long Term (include LLT)	01 Feb 2005
14	Wealthmaster International Insurance Broker Ltd. 卓豐國際保險經紀有限公司	220	Wong Sze Cheung (黃思翔)	Long Term (include LLT) & General	01 Feb 2005
15	Swink Insurance Brokers Ltd. 瑞榮保險經紀有限公司	247	Yim Yau Kee Ricky (嚴有基)	General	21 Mar 2005
16	Honor Insurance Brokers Ltd. 安娜保險顧問有限公司	279	Tse Man Heung (謝萬香)	Long Term (include LLT) & General	07 May 2005
17	Eager Insurance Brokers Ltd. 宜基保險顧問有限公司	154	Lo Man (羅文)	Long Term (exclude LLT ) & General	11 May 2005

18	Keen Shing Insurance Broker Ltd 見成保險顧問有限公司	228	Chan Fai Hon (陳輝行)	Long Term (include LLT) & General	01 Jun 2005
19	Keystone Insurance Brokers Ltd. 嘉信保險顧問有限公司	250	Chan Chung Yick (陳宗奕)	Long Term (exclude LLT) & General	01 Jun 2005
20	Top Regent International Insurance Brokers Ltd. 英昇國際保險經紀有限公司	237	ChiuSau lu 趙秀瑤	Long Term (exclude LLT) & General	01 Jun 2005
21	UEA Insurance Brokers Co. Ltd. 東悅保險顧問有限公司	199	Yeung Cheong Hung Andy (楊)	Long Term (include LLT) & General	01 Jun 2005
22	Eastern Dragon Developments Ltd. -	271	Lam Wai Wan (林慧雲)	Long Term (exclude LLT)	22 Aug 2005
23	High Gloria Consultants Ltd. 高輝顧問有限公司	278	Lam Kin Wah (藍健華)	Long Term (include LLT) & General	22 Aug 2005
24	Insupro Insurance Brokers (Asia) Ltd. 中信保險經紀(亞洲)有限公司	304	Chan Chung Kong (陳宗抗)	Long Term (include LLT)	22 Aug 2005
25	Sanity Insurance Brokers Ltd. 恆業保險顧問有限公司	233	Li King Wah (N.A.)	Long Term (include LLT) & General	21 Sep 2005
26	Human Asia Insurance Brokers Ltd. 康誠保險顧問有限公司	282	So Man Fai Terence (蘇文輝)	Long Term (include LLT) & General	14 Nov 2005
27	Alpha Insurance Broker Ltd. 匯智保險經紀有限公司	179	N.A.	Long Term (include LLT) & General	15 Dec 2005
28	Global (International) Insurance Investment Ltd. 環球(國際)保險投資有限公司	302	N.A.	Long Term (include LLT) & General	23 Jan 2006
29	Global (International) Insurance Investment Ltd. 環球(國際)保險投資有限公司	302	Tso Ngai Shing (曹毅誠)	Long Term (include LLT) & General	23 Jan 2006
30	Stanley Force Insurance Brokers Ltd. 兆豐保險經紀有限公司	309	Lam Yung Pol (林榕博)	Long Term (include LLT)	14 Feb 2006
31	Applause Insurance Brokers Ltd. 譽信保險顧問有限公司	308	Ng Tsz Ching Dalma (吳芷澄)	Long Term (include LLT) & General	26 Apr 2006
32	Hedgewell Insurance Brokers Ltd. 衛安保險顧問有限公司	42	Lee Fung Ling (李鳳玲)	Long Term (exclude LLT) & General	31 Oct 2006
33	Newman Insurance Brokers Ltd. 利民保險經紀有限公司	208	Cheung Yun Por (張潤波)	Long Term (include LLT) & General	07 Jun 2007
34	Success Channel Insurance Consultants Ltd. 萬科保險顧問有限公司	292	N.A.	General	02 Aug 2007
35	Union Smart (Asia) Ltd. 信昇(亞洲)有限公司	316	N.A.	Long Term (include LLT) & General	02 Aug 2007
36	Lighthouse International Ltd. -	264	James Philip Iorwerth (N.A.)	Long Term (include LLT)	20 Aug 2007
37	SI Limited -	300	Scott-Laws Colin John (N.A.)	Long Term (exclude LLT) & General	09 Oct 2007

38	New Economics Resources Ltd. -	290	Lam Chi Hung (林志雄)	Long Term (include LLT) & General	5. Nov. 2007
39	Capital Investments Limited 康盈投資理財有限公司	348	N.A.	Long Term (include LLT)	6. Dec. 2007
40	Cynergy Partners Ltd. -	246	Boeree Giles Roger (N.A.)	Long Term (include LLT)	05 Apr 2008
41	Angus Moore Limited 安格摩亞投資管理國際有限公司	273	N.A.	Long Term (include LLT) & General	06 Jan 2011
42	OIS International Ltd.	321	N.A.	Long Term (include LLT) & General	06 Jan 2011
43	Fortune Today Ltd. 今日財富投資有限公司	366	N.A.	Long Term (include LLT) & General	06 Jan 2011
44	Pacific Provident Financial Services Ltd. 普頓太平洋理財服務有限公司	405	N.A.	Long Term (include LLT) & General	06 Jan 2011
45	Smart Asia Investments Limited 緯星投資有限公司	456	N.A.	Long Term (include LLT)	06 Jan 2011
46	New Modern Financial Services Limited 光達理財投資有限公司	458	N.A.	Long Term (include LLT) & General	06 Jan 2011
47	Crown Asset Management Limited	76	N.A.	Long Term (include LLT)	13 Jun 2011
48	L & D Financial Services Ltd. 利時理財有限公司	411	Tsui Wa Tim (徐華添)	Long Term (include LLT) & General	07 Jul 2011
49	Wealth Century Insurance Consultant Limited 創富保險事務所有限公司	359	N.A.	Long Term (exclude LLT) & General	12 Jul 2012
50	First Class Insurance Services Ltd. 庫儼保險策劃有限公司	147	N.A.	Long Term (exclude LLT) & General	30 Jan 2013
51	Accellent Insurance Brokers Ltd. 尊業保險顧問有限公司	176	Lam Wai Man (林偉民)	Long Term (include LLT) & General	11 Apr 2013
52	L I Far East Ltd.	311	N.A.	Long Term (include LLT)	26 Sep 2013
53	First China Global Wealth Management Ltd. 首華環球理財有限公司	333	N.A.	Long Term (exclude LLT)	14 Jan 2014
54	Glorious Star International Financial Services Limited 煜星國際金融服務有限公司	467	N.A.	Long Term (include LLT) & General	02 Jun 2015
55	All Focus Ltd.	555	Poon Chi Yung (潘志勇)	Long Term (include LLT)	18 Aug 2015
56	Pan Asia Insurance Brokers Ltd. 環亞保險顧問有限公司	441	N.A.	General	17 Aug 2016
57	Global Private Client Services Company Limited 環球富裕顧問有限公司	465	N.A.	Long Term (include LLT) & General	27 Sep 2016

撤消牌照名冊(行政總裁)

Expulsion List (Chief Executive)

C.E.O. 行政總裁	Line(s) of Business Registered 登記業務範圍	Expelled Date 撤消日期
Yim Yau Kee Ricky 嚴有基	General	21. March 2005
Chan Chi Ming 陳志明	Long Term ( include LLT )	1. February 2005
Chan Chung Tung 陳忠東	Long Term ( exclude LLT ) & General	1. February 2005
Chan Po Man 陳寶文	Long Term ( include LLT ) & General	1. February 2005
Cheng Ming Ngar 鄭明雅	Long Term ( include LLT ) & General	1. February 2005
Leung Kin Sung, Diana 梁見崧	Long Term ( include LLT ) & General	1. February 2005
Soo Fattydad, Sandra	Long Term ( exclude LLT )	1. February 2005
Tsoi Lok Keung 蔡樂強	Long Term ( include LLT ) & General	1. February 2005
Wong Sze Cheung 黃思翔	Long Term ( include LLT ) & General	1. February 2005
Wong Yau Wing 黃攸榮	Long Term ( include LLT )	1. February 2005
Young Wai Ying Payton 翁偉英	Long Term ( include LLT )	1. February 2005
Pau Yuen Ling, Elsa 鮑婉玲	Long Term ( include LLT )	28. May 2003
Cousins Pauline Ellen	Long Term ( include LLT )	13. January 2012
Lam Wai Man (林偉民)	Long Term ( include LLT ) & General	11. April 2013

## Expulsion List (Ex-Technical Representative)

(This information was updated as at 6th February 2017)

No	Company Name	Name of T.R.	Registration No.	Disciplinary Action(s)
1	Time Union Asia Financial Services Ltd. 時匯亞洲理財服務有限公司	Lam Man Tun (林敏敦)	PIBA-0263-000703	The Disiplinary Committee decided to expel Mr. Lam Man Tun on 11th May 2009. Owing to the fact that he had been de-registered with PIBA on 8th January 2006, such expulsion cannot be effect but decision will be kept in record.
2	Convoy Financial Services Ltd. 康宏理財服務有限公司	Poon Wing Chi (潘泳枝)	PIBA-0066-014576	<ol style="list-style-type: none"><li>1. Ms. Poon be fined of HK\$50,000</li><li>2. Ms. Poon be expelled from the membership of PIBA and her name be removed from the Register of Members under Article 24 of the Articles of Association of PIBA as if she was our registered Technical Representative (our record showed that her registration with PIBA being cancelled on 29 June 2015).</li><li>3. Ms. Poon be prohibited from registration with PIBA as Chief Executive from 3 February 2017 to 2 February 2027</li><li>4. Ms. Poon be prohibited from registration with PIBA as Technical Representative from 3 February 2017 to 2 February 2020</li></ol>